

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
Notice of Effectiveness

**Effectiveness Date:** November 1, 2023 4:30 P.M.

**Form:** S-3

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**CIK:** [0001397911](#)

**Company Name:** LPL Financial Holdings Inc.

**File Number:** [333-274631](#)

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**CIK:** [0001139097](#)

**Company Name:** LPL HOLDINGS, INC.

**File Number:** [333-274631-02](#)