SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287

Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person [*] <u>Moore Robert J.</u>			2. Issuer Name and Ticker or Trading Symbol <u>LPL Investment Holdings Inc.</u> [LPLA]		ationship of Reporting Pe (all applicable) Director	erson(s) to Issuer 10% Owner
(Last) (First) (Middle) C/O LPL INVESTMENT HOLDING INC. ONE BEACON STREET		()	3. Date of Earliest Transaction (Month/Day/Year) 04/10/2012	_ x	Officer (give title below) Chief Financia	Other (specify below) Il Officer
(Street) BOSTON (City)	MA (State)	02108 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Fili Form filed by One Re Form filed by More th Person	porting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					Securities	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	04/10/2012		М		11,391	A	\$26.33	11,391	D	
Common Stock	04/10/2012		М		8,609	A	\$22.08	20,000	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code (5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option to Purchase Common Stock	\$26.33	04/10/2012		М			11,391	(1)	09/09/2018	Common Stock	11,391	\$0.00	108,609	D	
Option to Purchase Common Stock	\$22.08	04/10/2012		М			8,609	(2)	09/14/2019	Common Stock	8,609	\$0.00	71,391	D	

Explanation of Responses:

1. This option is currently exercisable for 72,000 shares. This option is excersiable in 20% increments on each of September 9, 2009, 2010, 2011, 2012 and 2013.

2. This option is currently exercisable for 32,000 shares. This option is excersiable in 20% increments on each of September 14, 2010, 2011, 2012, 2013 and 2014.

Remarks:

/s/ Robert J. Moore

** Signature of Reporting Person

04/12/2012

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.